09/937313 JC16 Rec'd PCT/PTO SEP 2 4 2001

TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER 0050/49860

DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED March 17, 2000

PCT/EP 00/02382

05 OCT 2000

TITLE OF INVENTION: SOLUBILIZING EXCIPIENTS IN POWDER FORM FOR SOLID PHARMACEUTICAL PRESENTATIONS

APPLICANT(S) FOR DO/EO/US Gunther BERNDL; Jörg BREITENBACH; Folker RUCHATZ; Axel SANNER and Heinrich SACK

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. /X/ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. / / This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- This express request to begin national examination procedures (35 U.S.C.371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. / A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. /X/ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - is transmitted herewith (required only if not transmitted by the International Bureau). a./X/
 - has been transmitted by the International Bureau. b./ /
 - is not required, as the application was filed in the United States Receiving Office (RO/USO). c./ /
- 6. /X/ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. / Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - are transmitted herewith (required only if not transmitted by the International Bureau).
 - have been transmitted by the International Bureau.
 - have not been made; however, the time limit for making such amendments has NOT expired.
 - have not been made and will not be made.
- 8. / A translation of the amendments to the claims under PCT Article 19(35 U.S.C. 371(c)(3)).
- 9. /X/ An oath or declaration of the inventor(s)(35 U.S.C. 171(c)(4)).
- 10./ / A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
- 11./ / An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12./X/ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13./X/ A FIRST preliminary amendment.
 - / / A SECOND or SUBSEQUENT preliminary amendment.
- 14./ / A substitute specification.
- 15./ / A change of power of attorney and/or address letter.
- 16./X/ Other items or information. International Search Report International Preliminary Examination Report

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U.S. Appln. No. (If Known)	INTERNATIONAL APP PCT/EP 00/02382	PLN. NO.	ATTORNEY'S D 0050/49860	OCKET NO.	
17. /X/ The following fees are s		CALC	ULATIONS		PTO USE ONLY
BASIC NATIONAL FEE (37 CFR 1.492		<u> </u>			
Search Report has been prepared					
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to USPTO (37 CFR 1.445(a)(2))		\$710.00			
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(37 CFR 1.445(a)(2)) paid to USP	TO	\$1000.00			
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Surcharge of \$130.00 for furnish					
later than // 20 // 30 mo		lest			
claimed priority date (37 CFR 1.	492(e)).				
<u>Claims</u> <u>Number Filed</u>	Number Extra	<u>Rate</u>			
Total Claims -20		X\$18.		ľ	
Indep.Claims -3		X\$80.		i	
Multiple dependent claim(s)(if a	pplicable)	+270.			
TOTAL OF ABOVE	CALCULATION	=			
Reduction of % for filing by sma		icable.			
Verified Small Entity statement					
	must also be liled	•			
(Note 37 CFR 1.9, 1.27, 1.28).	OUID MORNAT		860.00		
	SUBTOTAL		860.00		
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earliest claimed priority date (37 CFR 1.492(f)).	+			
TOTAL NATIONAL	FEE	=	860.00		
Fee for recording the enclosed a	assignment (37 CFR	1.21(h)).			
The assignment must be accompani			40.00		
sheet (37 CFR 3.28, 3.31) \$40.00		2			
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a./X/ A check in the amount of	f \$ <u>900.00</u> to cover	the above fees	is enclosed.		
b./ / Please charge my Deposit			mount of \$		to cover the above
fees. A duplicate copy	of this sheet is	enclosed.			
c./X/ The Commissioner is here	eby authorized to	charge any addit	ional fees wh	nich may be	required, or credit
any overpayment to Depor	sit Account No. 11	-0345. A duplic	cate copy of t	his sheet i	s enclosed.
NOTE: Where an appropriate time	limit under 37 CF	R 1.494 or 1.495	has not been	met, a pet	ition to revive (37
CFR 1.137(a) or (b) must be file	ed and granted to	restore the appl	ication to pe	nding_statu	B.,
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SEND ALL CORRESPONDENCE TO:				1 12 1 2	SIGNATURE
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1101 Connecticut Ave., N.W.		Verhor	t B. Keil		
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Washington, D. C. 20036		NAME			
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